

Substitute for Form 1449/PTO <div style="text-align: center; font-weight: bold; font-size: 1.2em;"> INFORMATION DISCLOSURE STATEMENT BY APPLICANT </div> <div style="text-align: center; font-size: 0.8em;"> <i>(use as many sheets as necessary)</i> </div>			Complete if Known	
			Application Number	09/945,414
			Filing Date	08/31/2001
			First Named Inventor:	Tom R. Vandermeijden
			Art Unit	2645
			Examiner Name	Elahee, MD S.
Attorney Docket Number	3399P066			
U.S. PATENT DOCUMENTS				

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
ME		US-	5,485,505	01/16/1996	Norman et al.	
		US-	5,586,260	12/17/1996	Hu	
		US-	5,603,084	02/11/1997	Henry, Jr. et al.	
		US-	5,673,322	09/30/1997	Pepe et al.	
		US-	5,719,918	02/17/1998	Serbetciouglu et al.	
		US-	5,727,159	03/10/1998	Kikinis	
		US-	5,732,074	03/24/1998	Spaur et al.	
		US-	5,742,905	04/21/1998	Pepe et al.	
		US-	5,809,415	09/15/1998	Rossmann	
		US-	5,812,953	09/22/1998	Griffith et al.	
		US-	5,841,764	11/24/1998	Roderique et al.	
		US-	5,848,064	12/08/1998	Cowan	
		US-	5,875,394	02/23/1999	Daly et al.	
ME		US-	5,943,399	08/24/1999	Bannister et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
ME		EP 0804045 A2	10/29/1997	Telia AB		
		EP 1043905 A2	10/11/2000	Phone.com, Inc.		
		EP 1043905 A3	09/25/2002	Phone.com, Inc.		
		EP 1043906 A2	10/11/2000	Phone.com, Inc.		
		EP 1043906 A3	01/02/2002	Phone.com, Inc.		
ME		EP 1091606 A2	04/11/2001	NEC Corporation		

Examiner Signature: <i>MD. Shafiqul Alam Elam</i>	Date Considered: <i>03/04/06</i>
---	----------------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

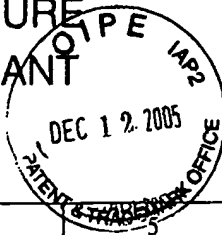
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/945,414
Filing Date	08/31/2001
First Named Inventor:	Tom R. Vandermeijden
Art Unit	2645
Examiner Name	Elahee, MD S.
Attorney Docket Number	3399P066

Sheet

2

of

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
ME		us-	5,956,636	09/21/1999	Lipsit	
		us-	6,031,830	02/29/2000	Cowan	
		us-	6,049,821	04/11/2000	Theriault et al.	
		us-	6,065,120	05/16/2000	Laursen et al.	
		us-	6,138,009	10/24/2000	Birgerson	
		us-	6,138,158	10/24/2000	Boyle et al.	
		us-	6,148,405	11/14/2000	Liao et al.	
		us-	6,151,628	11/21/2000	Xu et al.	
		us-	5,603,084 C1	06/05/2001	Henry, Jr. et al.	
		us-	6,173,316 B1	01/09/2001	De Boor et al.	
		us-	6,195,366 B1	02/27/2001	Kayashima et al.	
		us-	6,195,546 B1	02/27/2001	Leung et al.	
		us-	6,195,547 B1	02/27/2001	Corriveau et al.	
		us-	6,233,577 B1	05/15/2001	Ramasubramani et al.	
	ME		us-	6,233,608 B1	05/15/2001	Laursen et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
ME		WO	00/46963	A1	08/10/2000	Apion Telecoms Limited		
		WO	00/56015	A1	09/21/2000	@HOC Inc.		
		WO	01/39526	A1	05/31/2001	Motorola Inc.		
		WO	01/58110	A2	08/09/2001	Apion Telecoms Limited		
		WO	01/58110	A3	08/09/2001	Apion Telecoms Limited		
ME		WO	01/69891	A1	09/20/2001	Sonera OYJ		

Examiner
Signature

Md. Shafiqul Alam Elahee

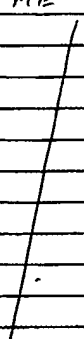
Date Considered

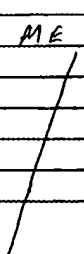
03/04/06

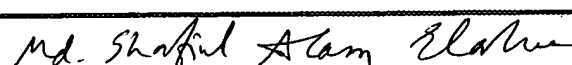
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	09/945,414	
				Filing Date	08/31/2001	
				First Named Inventor:	Tom R. Vandermeijden	
				Art Unit	2645	
				Examiner Name	Elahee, MD S.	
Sheet <u>3</u> of <u> </u>				Attorney Docket Number	3399P066	
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ⁴	Number ⁴ Kind Code ⁵ (if known)			
		US-	6,253,326 B1	06/26/2001	Lincke et al.	
		US-	6,275,693 B1	08/14/2001	Lin et al.	
		US-	6,295,291 B1	09/25/2001	Larkins	
		US-	6,311,057 B1	10/30/2001	Barvesten	
		US-	6,343,323 B1	01/29/2002	Kalpio et al.	
		US-	6,418,330 B1	07/09/2002	Lee	
		US-	6,421,781 B1	07/16/2002	Fox et al.	
		US-	6,456,852 B2	09/24/2002	Bar et al.	
		US-	6,493,743 B2	12/10/2002	Suzuki	
		US-	6,516,203 B1	02/04/2003	Enzmann et al.	
		US-	6,647,260 B2	11/11/2003	Dusse et al.	
		US-	6,697,484 B1	02/24/2004	Fleming, III	
		US-	6,782,208 B1	08/24/2004	Lundholm et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ⁴	Number ⁴	Kind Code ⁵ (if known)				
		WO	01/69903 A1		09/20/2001	Sonera OYJ		
		WO	95/15065 A1		06/01/1995	Bellsouth International, Inc		
		WO	97/13382 A1		04/10/1997	Northern Telecom Limited		
		WO	97/28662 A1		08/07/1997	Nokia Mobile Phones Limited		
		WO	98/56202 A2		12/10/1998	Nokia Telecommunications OY		
		WO	98/58506 A1		12/23/1998	Telefonaktiebolaget LM Ericsson		
		WO	99/05613 A1		02/04/1999	Orange Personal Communications Services Limited		
ME		WO	99/07173 A1		02/11/1999	Northern Telecom Limited		

Examiner Signature		Date Considered	03/09/06
--------------------	---	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Based on Form PTO/SB/08B (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/945,414
Filing Date	08/31/2001
First Named Inventor:	Tom R. Vandermeijden
Art Unit	2645
Examiner Name	Elahee, MD S.
Attorney Docket Number	3399P066

Sheet

4

of

5

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
ME		us-	2001/0001160 A1	05/10/2001	Schoff et al.	
		us-	2001/0032254 A1	10/18/2001	Hawkins	
		us-	2001/0055299 A1	12/27/2001	Kelly	
		us-	2002/0009184 A1	01/24/2002	Shnier	
		us-	2002/0019225 A1	02/14/2002	Miyashita	
		us-	2002/0046299 A1	04/18/2002	Lefebvre et al.	
		us-	2002/0068554 A1	06/06/2002	Dusse	
		us-	2002/0123335 A1	09/05/2002	Luna et al.	
		us-	2002/0194352 A1	12/19/2002	Ho et al.	
		us-	2002/0194584 A1	12/19/2002	Suorsa et al.	
		us-	2003/0023371 A1	01/30/2003	Stephens	
		us-	2003/0023849 A1	01/30/2003	Martin, Jr. et al.	
ME		us-	2004/0066920 A1	04/08/2004	Vandermeijden	
		us-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

Examiner
Signature

Md. Shafiqul Alam Elahee

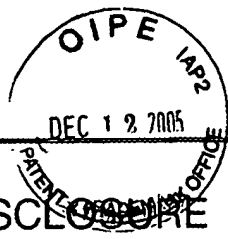
Date Considered

03/04/06

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/945,414
Filing Date	08/31/2001
First Named Inventor:	Tom R. Vandermeijden
Art Unit	2645
Examiner Name	Elahee, MD S.
Attorney Docket Number	3399P066

Sheet

5

of

5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
ME		HARRINGTON, D., et al.: "An Architecture For Describing SNMP Management Frameworks", April 1999, pgs. 1-62, XP-002241315.	
/		"Mobile Management Server", pgs. 1-4, downloaded from http://www.phone.com/products/mms/html on March 5, 2001.	
/		DAVIN, J., et al.: "SNMP Administrative Model", July 1992, pgs. 1-35, XP-002272696.	
ME		WIJNEN, B., et al.: "View-Based Access Control Model (VACM) For The Simple Network Management Protocol (SNMP)", January 1998, XP-002272695, pgs. 1-36.	

Examiner Signature	<i>Mr. Shafiqul Alam Elahee</i>	Date Considered	05/04/06
--------------------	---------------------------------	-----------------	----------

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.